

Policy History
Policy No. RS2
Approving Jurisdiction: President
Administrative Responsibility: Provost and Vice President Academic
Effective Date: August 31, 2012

Integrity in Research and Scholarship Policy

A. CONTEXT AND PURPOSE

Kwantlen Polytechnic University (the university) requires and encourages the maintenance of high ethical standards in research and scholarship (hereinafter referred to as research) while recognizing that research can involve unintentional error. The purpose of this policy is to define and articulate principles of scholarly integrity, the processes for investigating allegations of research misconduct and the response to such incidents. All members of the university community will adhere to the principles described herein.

B. SCOPE AND LIMITS

This Policy applies to all persons engaged in research at the university. Primary responsibility for high standards of conduct in research rests with the individuals carrying out these activities. The university will actively promote understanding of the issues involved in scholarly integrity by holding at least one workshop each year. Misconduct in research is an offense which, depending on its severity, is subject to a range of disciplinary measures up to and including dismissal or expulsion. Allegations of misconduct shall be dealt with in a fair, unbiased and timely manner, with due consideration for the protection and confidentiality of both complainants and respondents.

Policy ST2 *Student Academic Integrity* - applies to students in the context of coursework.

C. STATEMENT OF POLICY PRINCIPLES

The university holds all researchers responsible for conducting their research activity in strict observance of ethical standards, including the following modes of behaviour:

- 1) All research involving human subjects requires prior approval by the Research Ethics Board in compliance with existing policy.

- 2) Scholarly integrity requires that the interests of research participants, including their right to privacy, be protected to the extent permitted by law.
- 3) All real or perceived conflicts of interest shall be declared to the university, sponsors, and any other party whose support is sought for the funding, execution or dissemination of research.
- 4) Researchers must consult with the Office of Research and Scholarship before applying for external funding for research conducted under the university's auspices, and must comply with all relevant policies, regulations, and standards of stewardship of resources concerning grants and/or contracts awarded to the researcher.
- 5) Researchers will collect, analyze and disseminate data in a rigorous and scholarly manner, without plagiarism, fabrication or falsification.
- 6) Researchers will attribute material published by others according to the professional standards in their discipline. Credit for scholarly work shall appropriately recognize all, and only those, who have contributed to the research. Acknowledgement, in addition to authors, shall be made of all contributors and contributions to the research, including writers, funders and sponsors.
- 7) Any permissions required for published, unpublished, and archival materials will be obtained prior to publication. This includes information, concepts or data reviewed through confidential processes such as peer review.
- 8) Researchers are expected to perform to the standards of scholarly competence of their discipline.
- 9) Applicants to the federal Tri-Agencies (NSERC, SSHRC, and CIHR) shall certify that they are currently not ineligible to apply for, and/or hold, funds from those agencies or any other research or research funding organizations world-wide for reasons of breach of responsible conduct of research policies such as ethics, integrity or financial management policies.
- 10) Principle funding applicants must ensure that others listed on the application have agreed to be included.

D. DEFINITIONS

Refer to the related Procedures document for definitions which will enhance the reader's interpretation of this Policy.

E. RELATED POLICIES & LEGISLATION

HR1 *Conflict of Interest*

IM2 *Freedom of Information and Protection of Privacy*

IM4 *Confidentiality*

RS1 *Research Involving Humans*

ST2 *Student Academic Integrity*

F. RELATED ARTICLES OF COLLECTIVE AGREEMENTS:

[Faculty Collective Agreement, Article 18.02 Copyright and Intellectual Property](#)

G. RELATED MATERIALS:

[Tri-Agency Framework: Responsible Conduct of Research](#)

H. RELATED PROCEDURES

Refer to RS2 *Integrity in Research and Scholarship Procedure*